

Data Interpretation in Nuclear Forensics

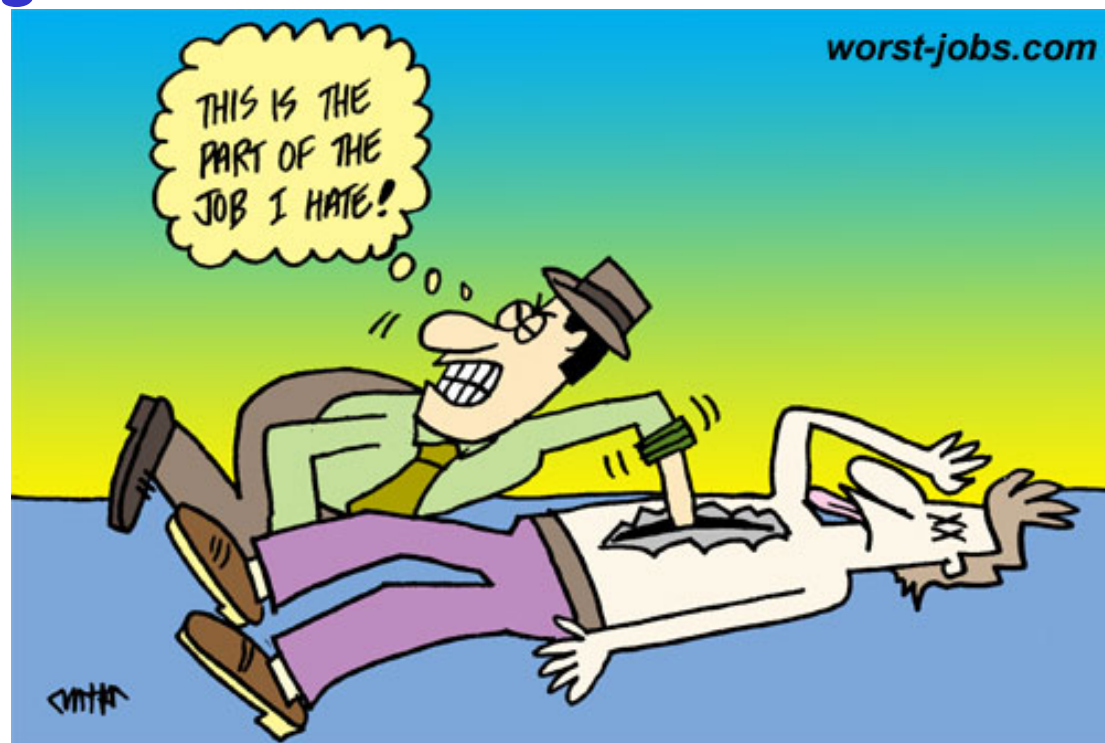
K. Mayer, M. Wallenius, A. Schubert



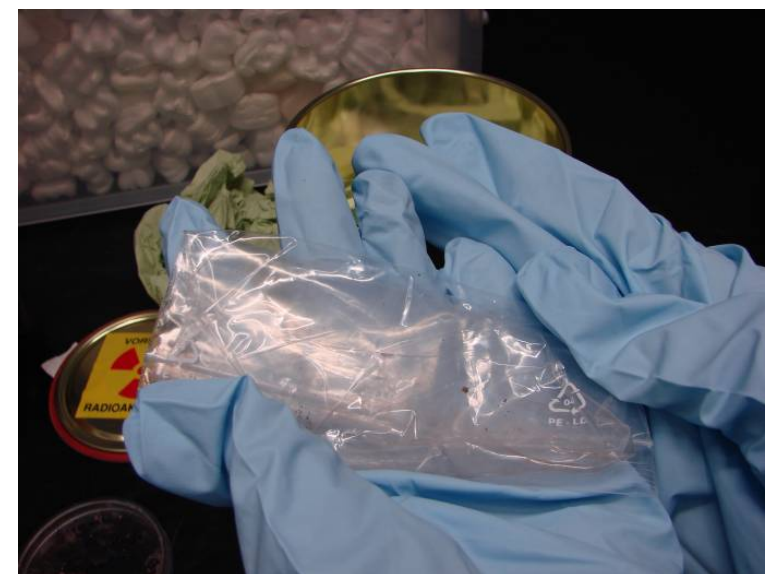
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<http://itu.jrc.cec.eu.int>

- Nuclear Forensics Methodology
 - From evidence collection to data interpretation
- Interpretation Concepts
- Examples
- Comparative Evaluation
- Conclusion



- **Traditional Forensics**
 - Serves Prosecution
 - Relation between
 - Evidence
 - Incident (crime)
 - Individual (criminal)
- **Nuclear Forensics**
 - Serves Nuclear Security
 - Serves Non-Proliferation
 - Relation between
 - Nuclear Material
 - Intended Use
 - Origin (place of theft/diversion)



Conceptual Response Plan

Operational
Crime Scene

Analytical
Laboratory

Interpretation

Attribution

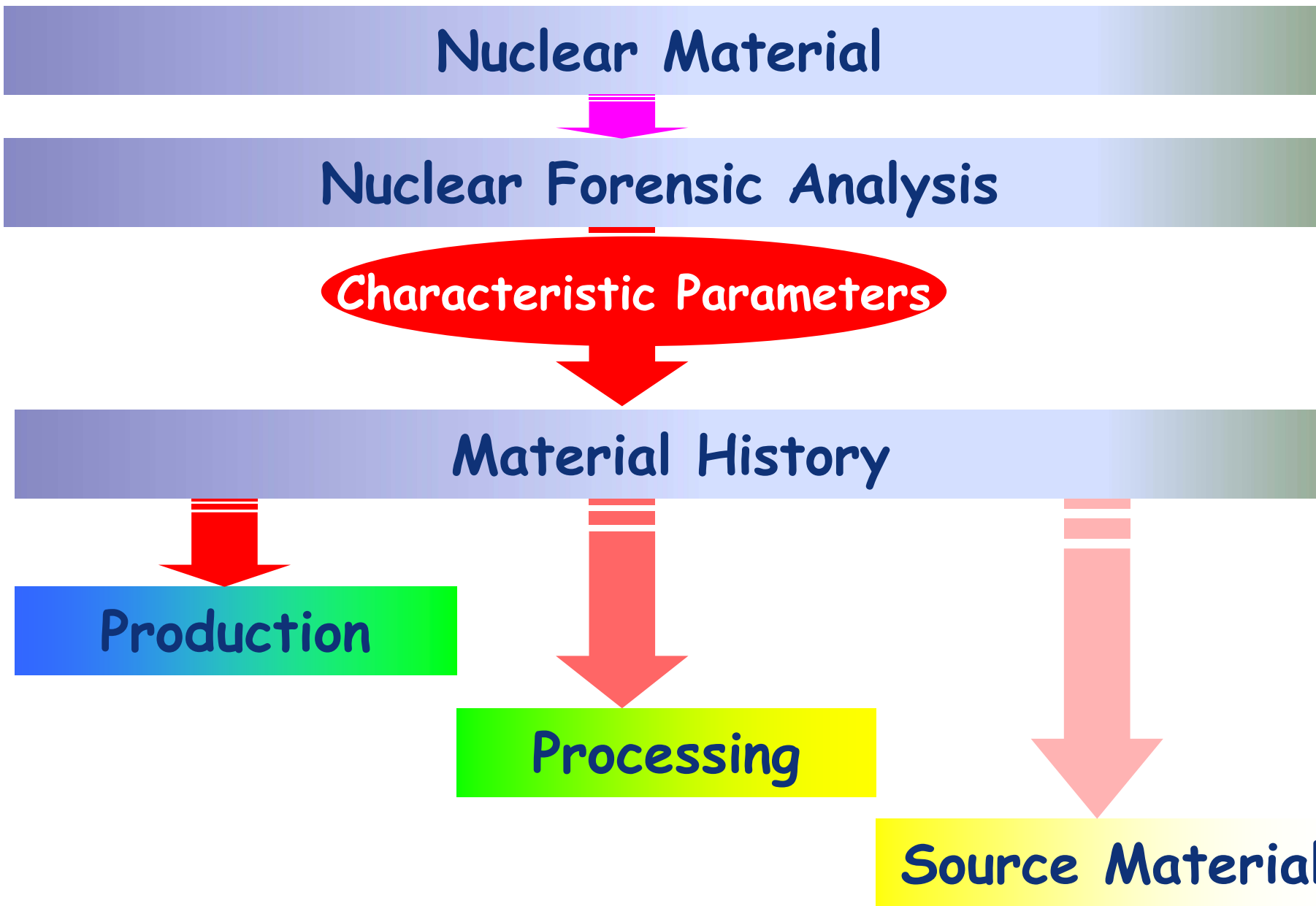
Appropriate
Sampling

Quality
Control

Expert knowledge

Reference Data

Credible Conclusion



Characteristic Parameters

Identification

R&D

- Grouping of parameters
- Relating parameters to
 - Source material
 - Processes
 - Application

Statistical Methods

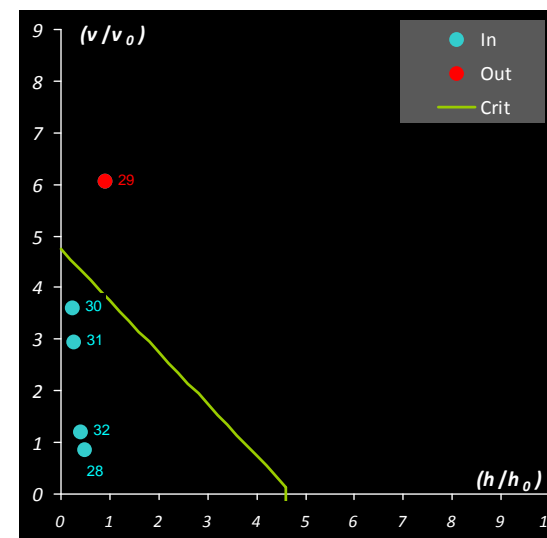
- Cluster analysis
- Principal Component Analysis
- Decision Trees

Expert knowledge

Application

Case Work

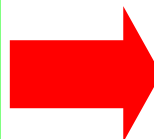
- Classification
 - Statistical Methods
 - Expert knowledge



Mechanistic Approach using Comprehensive Data Set

Data Acquisition

- Systematic
- Structured
- Adapted
- Multiple Parameters
- Multi-dimensional data set



Data Interpretation

- Data pre-processing
- Data processing
- Data evaluation
- Attribution
 - Comparison against database
 - Comparison sample



Attribution

Iterative Approach using Prioritized Data

Data Acquisition

- Prioritize Parameters
- Measurement of most relevant parameters

- Prioritize Parameters
- Measurement of next relevant parameter(s)

Data Interpretation

- Data evaluation
- Data base query

- Data evaluation
- Data base query

Attribution



Seizure of uranium fuel pellets



1. Origin of the material ?
2. Intended use of the material?

Seizure of uranium fuel pellets

Data Acquisition

- Enrichment
- Diameter



Data Interpretation

- Data evaluation
- Data base query



- Exclude all non-matching records
- Identify parameters for subsequent measurement

Seizure of uranium fuel pellets

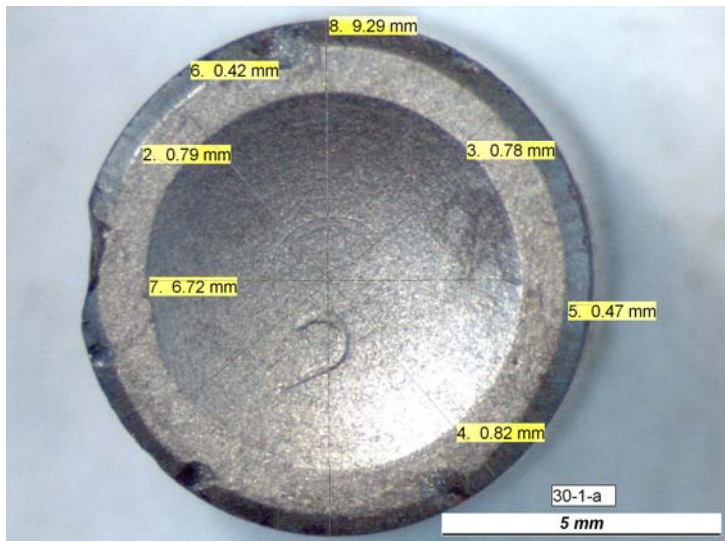
Data Acquisition

- Chamfer
- Dishing
- Land



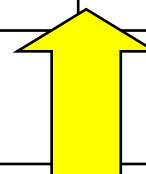
Data Interpretation

- Data evaluation
 - Data base query
-
- Exclude all non-matching records
 - Identify parameters for subsequent measurement



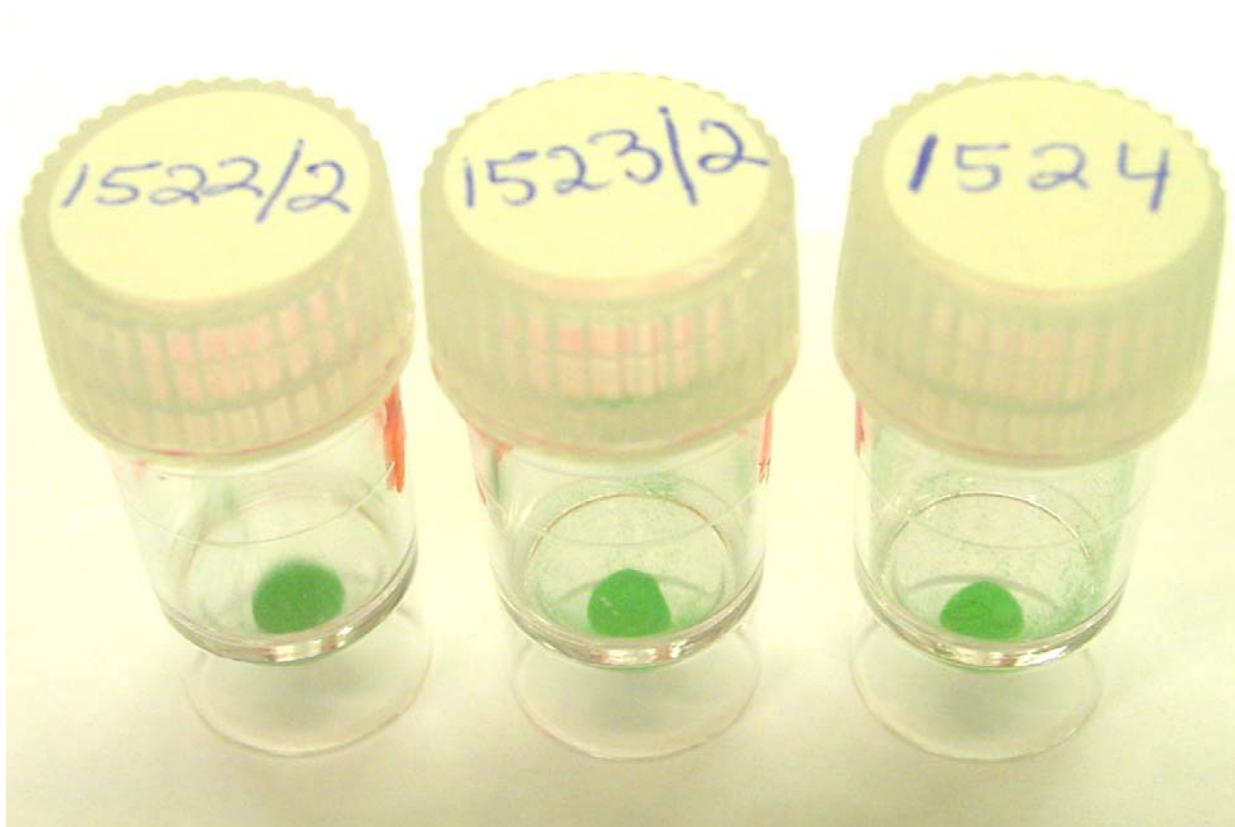
Attribution

		Measured			Database Record [1]		Database Record [2]	
		Average	StDev		Nominal	Tolerance	Nominal	Tolerance
Diameter	mm	9.26	0.02		9.11	0.02	9.11	0.02
Dishing	mm	6.71	0.08		6.7*	0.3*	6.73	0.05
Land	mm	1.22	0.16		1.2	0.3	1.2*	0.1*
Chamfer Width	mm	0.44	0.04		0.4	0.2	<i>0.61</i>	<i>0.05</i>



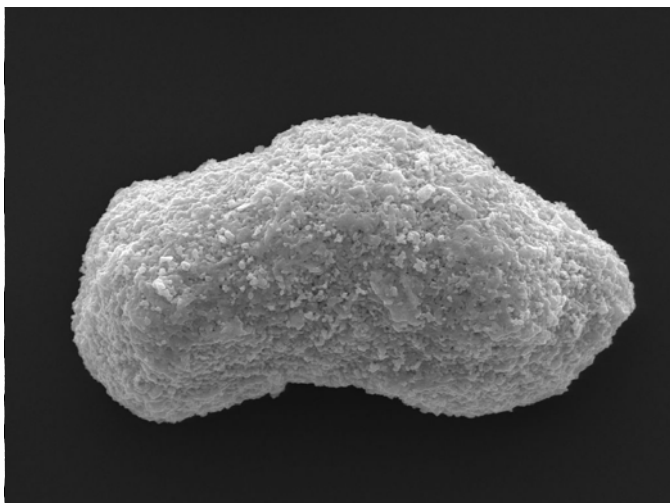
Siemens (RBU) Brennelementfabrik Hanau

- Absence of Database information
- Non-numeric information



Natural uranium (UF₄)

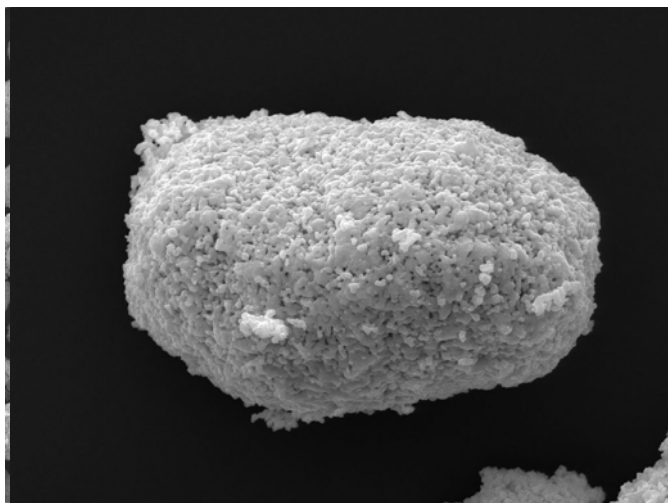
Microstructure



View field: 59.93 μm
 HV: 30.00 kV
 Name: 24
 DET: SE Detector
 DATE: 08/29/06

20 μm

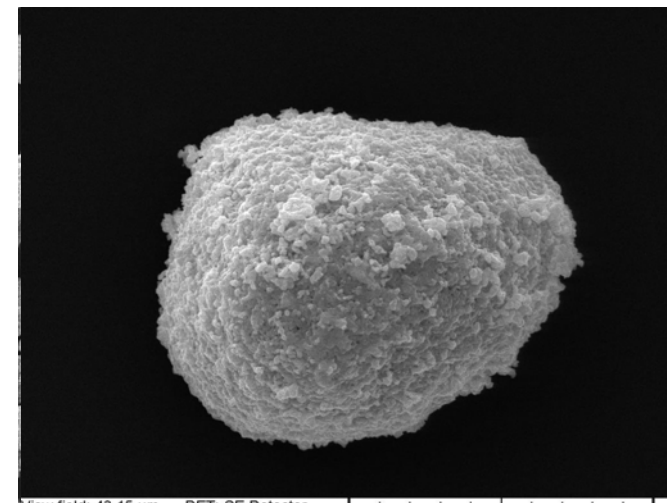
Vega ©Tescan
 CCR itu



View field: 60.32 μm
 HV: 30.00 kV
 Name: 38
 DET: SE Detector
 DATE: 08/29/06

20 μm

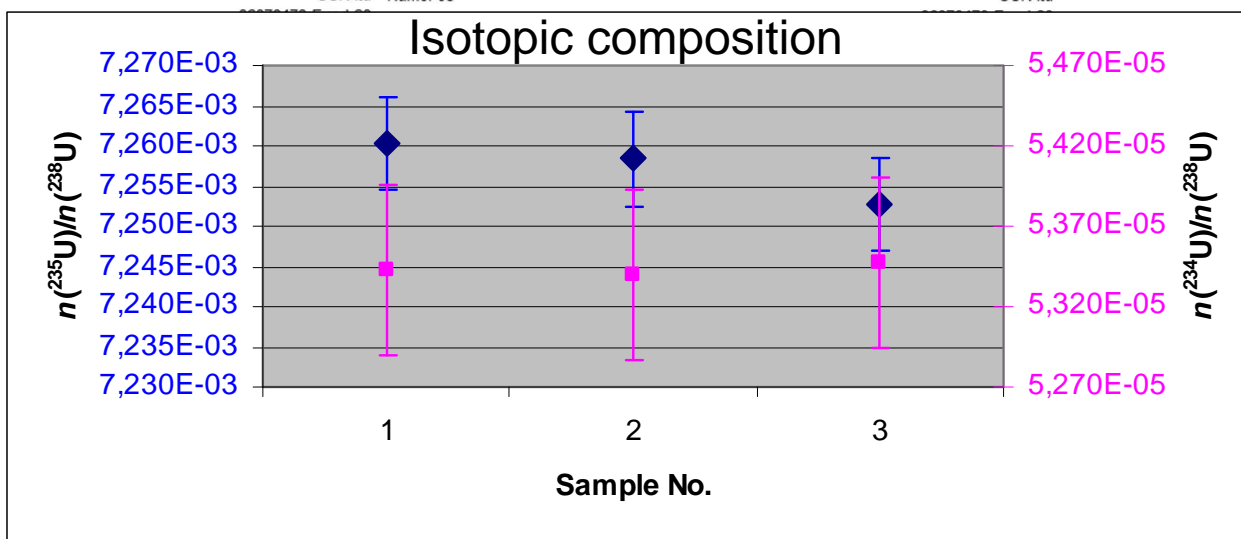
Vega ©Tescan
 CCR itu



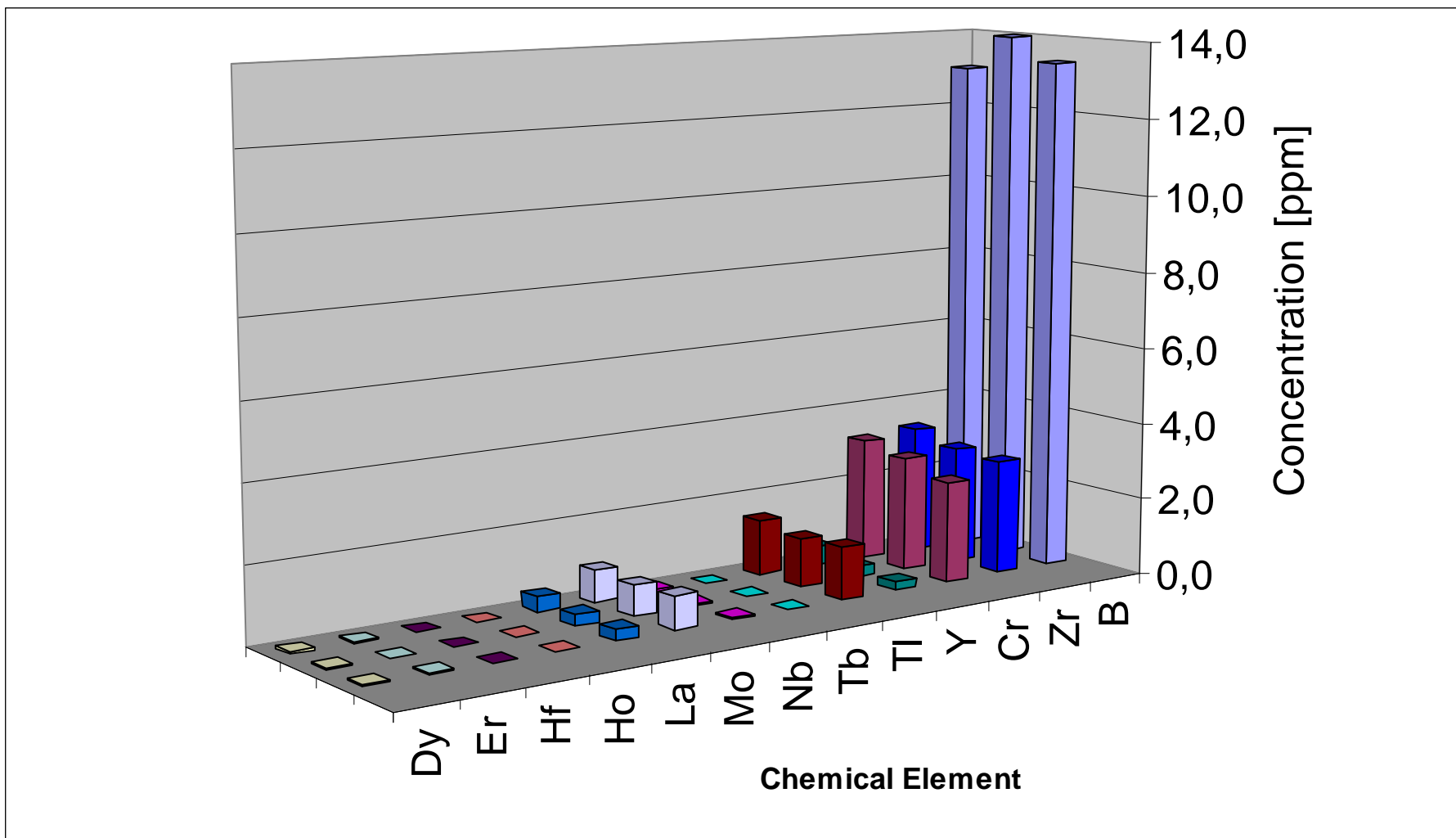
View field: 43.15 μm
 HV: 30.00 kV
 Name: 53
 DET: SE Detector
 DATE: 09/01/06

20 μm

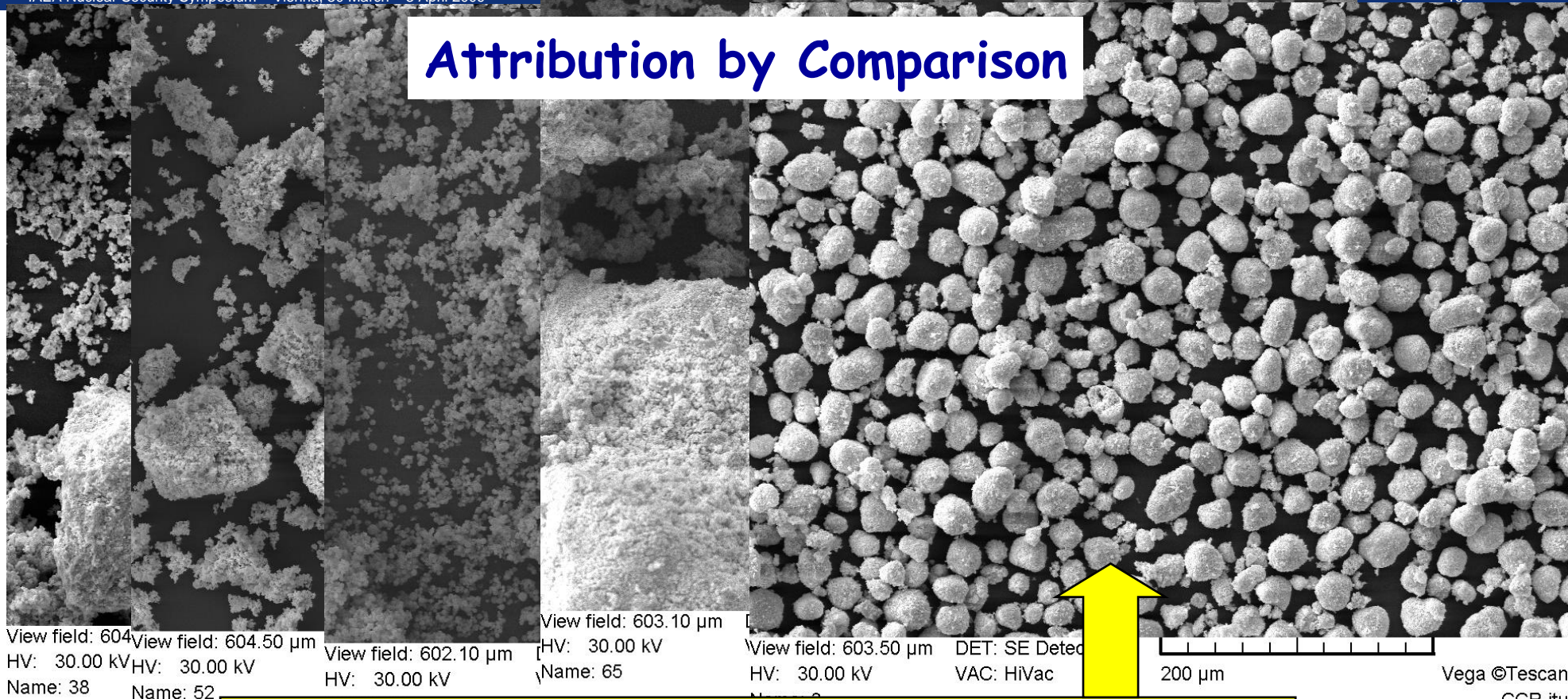
Vega ©Tescan
 CCR itu
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 Fund 28, 1524



Chemical Impurities

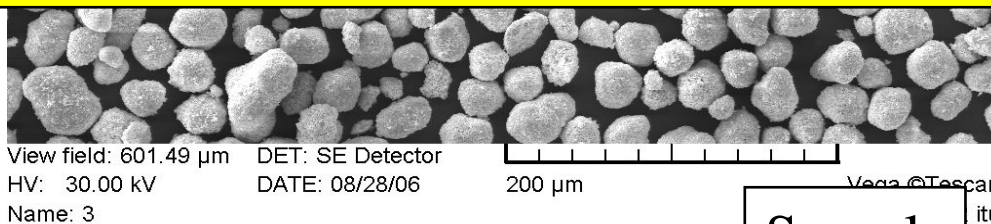


Attribution by Comparison



Merck Chemical Reagent

Reference E



Sample

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-28
2-2

Parameter	Comparison against	Evaluation Method
U isotope ratios	Data base	Simple statistics
Pu isotope ratios	Model calculations	Simple statistics, graphical eval.
	Data base	Simple statistics
Chem. Impurities (concentrations, patterns, ratios)	Process knowledge	Expert judgment, consistency
	Data base, known samples	Advanced statistics
Isotope ratios (of minor constituents)	Data base, known samples	Simple statistics
Macroscopic appearance	Process knowledge	Expert judgment
	Database, known samples	Simple statistics
Microscopic appearance	Process knowledge	Expert judgment, consistency
	Database, known samples	Advanced statistics
Radioisotopes	Model calculations	Simple statistics, graphical eval.

- Nuclear Forensics is a powerful tool providing
 - Sample History or Attribution
 - Sustainability
 - Deterrence
- Credible Nuclear Forensics requires
 - Identification of characteristic parameters
 - Reliable sampling
 - Validated measurements
 - Careful data interpretation

R&D

R&D

- **Data interpretation is based upon**
 - Tacit Knowledge
 - Access to Reference Data
 - Statistical testing
- **International collaboration**
 - Enable possibilities for data base queries
 - Access to reference data/comparison samples
 - Exchange expert knowledge
- **Training/Exercises**